

State of Kansas Performance Management Process

Identification Information (please print)		
Employee Name (Last, First, MI):	Person Completing Review:	
Employee ID:	Agency Name:	
Class Title:	Position Number:	Date of this Review: October 2009
_____ To _____ (Month/Day/Year) Timeframe Being Evaluated (Month/Day/Year)	Review Type: Probationary Recommend permanent status Extend probationary status Not recommended for permanent status Annual Special	
Overall Performance Rating: Unsatisfactory Needs Improvement Meets Expectations Exceeds Expectations Exceptional		

Part I: Performance Planning

Instructions: Identify objectives for the employee based on the manager/employee performance planning discussion. Please ensure each objective is a SMART objective (Specific, Measurable, Attainable, Relevant, Time-Based). In setting the objectives, be as specific as possible using quantitative (e.g., numbers, data, quotas, dates) and/or qualitative measures or feedback where helpful.

Indicate how progress against the objectives will be observed or measured (tracked). Modify objectives as needed throughout the performance management cycle. The number of objectives should be based on the outcomes of the performance planning discussion; you may add more lines below (objectives) as necessary. In the Annual Performance Review, indicate employees overall performance against each objective.

Objectives List Objectives in the Table Below		Measurement	
		A measurement scale and target should be established for each objective.	
Obj	Description	Tracking Method	Annual Performance Review
1			
2			
3			
4			
5			

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Part I: Performance Planning (continued)

Instructions: Considering the employee's overall strengths and weaknesses, identify the critical competencies (from pages 4-7), for this review period that the employee should focus on. Consider those competencies that are critical for achievement of responsibilities and objectives for the coming year in addition to those that are important from a developmental perspective (important for a future position and/or for increased or changed responsibilities). The competencies chosen should be based on information from manager/employee performance planning discussion(s), any items identified through the development planning process, and any items from the most recent performance review.

For each competency, provide any project or on-the-job suggestions that the employee can engage in to help in development.

Competency	Project or On-the-Job Suggestions	Annual Performance Review
1.		
2.		
3.		
4.		

On-Going Feedback and Coaching Process

Instructions: Identify the process to be used throughout the year to provide feedback and coaching to the employee. Identify any customer input tools that will be used to provide feedback throughout the year. In addition, identify the timing for the mid-year review and/or any other coaching sessions. Identify any employee responsibilities in the process. For instance, you may wish to identify when and how on-going feedback will be delivered, who has responsibility for setting up coaching sessions, when the mid-year review will happen, if current customer input tools will be used, etc.

On-Going Feedback and Coaching Process

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Part II: Mid-Year Discussion

Instructions: Document progress against the Objectives and Competencies as defined in the Performance Planning process. Be as specific as possible about the employee's actual performance to date, documenting specific observable results wherever possible, and adding qualitative information or feedback wherever helpful. Any valid updates to employee's objectives, which are based on changes in State or agency needs, may also be documented in this section.

Mid-Year Discussion and Coaching Progress

Part III: Essential Requirements

Instructions: Considering the level the employee typically or consistently operates at, check (✓) the box which best describes his/her behavior for the competency below. A behavioral example must be provided for the Unsatisfactory rating.

Dependability: Recognizes their responsibilities to the University and applies effective work habits and attitudes to meet work requirements. Accomplishes tasks by showing concern for all aspects of the job and manages workload or completes tasks in a timely manner.

- Reports to work at the assigned time, workstation, and remains at work until the end of the scheduled work day/shift.
- Provides timely notification to supervisor of tardiness (unable to report to work on time)
- Lunch and breaks are taken within appropriate timeframes
- Plans vacation and time-off in line with business needs
- Absences do not present an unexplainable pattern
- Follow established leave guidelines
- Completes assignments and/or tasks on time
- Assumes personal accountability for work
- Provides quality service and professionalism to internal/external customers

Unsatisfactory * <input type="checkbox"/>	Meets Expectations <input type="checkbox"/>
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Example: _____

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University Values: Individuals adhering to University values align their actions with high standards of conduct, accept responsibility for behavior and exhibit personal integrity at all times. Acts as a role model for other employees and does the right thing, even when no one is watching. Individual does not waste University resources (i.e., time, material).

- Acts in a courteous, respectful and professional manner towards customers and co-workers
- Acts with honesty and integrity
- Respects diversity
- Is understanding, kind, forgiving and helpful towards others
- Adapts to changes in processes, procedures or responsibilities
- Strives for continuous improvement
- Supports the mission of the university
- Utilizes university resources appropriately
- Acts in a safe manner at all times

Unsatisfactory * <input type="checkbox"/>	Meets Expectations <input type="checkbox"/>
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Example: _____

** An Unsatisfactory rating in Dependability or Agency Values will result in an Overall Performance Rating of Unsatisfactory*

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Part IVa: Annual Performance Review - Competencies

Instructions: Considering the level the employee typically or consistently operates at, check (✓) the box which best describes his/her behavior for the competency below. A behavioral example should be provided for each competency. (end of year)

CORE COMPETENCIES (FOR ALL EMPLOYEES):

Job Responsibilities: Please rate the individual on execution of their expected day-to-day responsibilities, and specialized knowledge as outlined in the job description. Specialized Knowledge is the knowledge of and/or skill in a particular field (e.g., marketing, engineering, etc.) or trade (e.g., electrician, housekeeping, etc.) and the ability to apply that knowledge and/or skill in a variety of business situations.

(Only one box may be checked – Upper boxes for planning session and lower boxes for end of year)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Learner: <ul style="list-style-type: none"> ▪ Limited ability to conduct job responsibilities; needs more experience or practice. ▪ Requires clear and specific instructions to get the job done. ▪ Understands enough to independently handle some routine tasks of the job. ▪ Understands regulations and policies; correctly applies them. 	Experienced Knowledge: <ul style="list-style-type: none"> ▪ Technically experienced and competent. ▪ Has thorough working knowledge of the area. ▪ Handles most routine tasks of the job; needs direction for more difficult tasks. ▪ Supervises others effectively through proper delegation, communication, and follow-up. 	Expert Knowledge: <ul style="list-style-type: none"> ▪ Can exercise independent judgment regarding all technical issues and responsibilities. ▪ Understands how area of responsibility relates to broader issues. ▪ Requires assistance for the most difficult aspects of the job. ▪ Supervises others with a focus on coaching for effective performance. 	Transferring Knowledge: <ul style="list-style-type: none"> ▪ Independently handles the most difficult aspects of the job. ▪ Understands how the area of knowledge relates to broader departmental or agency goals. ▪ Plays a role in transferring skills and knowledge to others. ▪ Takes responsibility for projects and tasks that have broader departmental or agency impact.

Behavioral Example: _____

Communication: Understands others' ideas and expresses thoughts and information effectively, utilizing appropriate gestures, tone, organization, grammar, format and materials. Maximizes performance through effective communication and develops a communication style that optimizes individual and team performance. It includes expressing ideas, requesting actions, summarizing events, and formulating plans by means of clear and effective writing, communicating, or presenting.

Employee does not demonstrate any of the levels below; this competency will be included in the employee's development plan.

(More than one category may be checked – Upper boxes for planning session and lower boxes for end of year)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares Information: <ul style="list-style-type: none"> ▪ Shares relevant information and keeps others informed. ▪ Listens to others' opinions. ▪ Explains reasons for decisions. 	Facilitates Participation: <ul style="list-style-type: none"> ▪ Communicates objective and purpose and how individual is critical to team success. ▪ Encourages participation from all team members. ▪ Runs effective meetings; uses agendas, outlines expectations, keeps group focused. ▪ Negotiates for win-win solutions. 	Tailors Communication: <ul style="list-style-type: none"> ▪ Understands audience and tailors communication so that it is perceived as being clear, relevant, and even handed. ▪ Develops a communication style to facilitate a positive team environment and advance the team's agenda. ▪ Adjusts style/approach to build partnerships with others and to ensure acceptance of ideas. 	Uses Communication to Promote Agency Effectiveness: <ul style="list-style-type: none"> ▪ Uses communication to promote broad learning, effectiveness and efficiency. ▪ Communicates with different people at different levels throughout the agency to ensure the buy-in of others. ▪ Communicates broadly to ensure others understand the link between the current task and long-term strategies.

Behavioral Example: _____

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Customer Service: Addresses underlying customer needs that add value, to remove causes of customer's problems and ensure the long-term viability of the relationship. It includes demonstrating understanding of the customer's point of view, delivering on commitments, gaining the confidence of customers, and maximizing customer satisfaction. Customers include external and internal customers or clients, suppliers, etc.

Employee does not demonstrate any of the levels below; this competency will be included in the employee's development plan.

(More than one category may be checked – Upper boxes for planning session and lower boxes for end of year)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>Maintains Communication:</p> <ul style="list-style-type: none"> ▪ Follows through on customer inquiries, requests, and complaints. ▪ Keeps customer up-to-date about progress of projects. ▪ Gives friendly, cheerful service and distributes helpful information to customers. ▪ Maintains clear communication with customers regarding mutual expectations. 	<p>Takes Personal Responsibility:</p> <ul style="list-style-type: none"> ▪ Takes personal responsibility for correcting customer-service problems. ▪ Corrects customer-service problems promptly and un-defensively. ▪ Makes self fully available to the customer, especially when they are going through a critical period. 	<p>Addresses Underlying Customer Needs:</p> <ul style="list-style-type: none"> ▪ Seeks information about the real, underlying needs of the customer beyond those expressed initially. ▪ Makes concrete attempts to add value to the customer, to make things better for the customer in some way. ▪ Gets at the root of a customer problem and removes the cause of the problem. 	<p>Uses Long-Term Perspective:</p> <ul style="list-style-type: none"> ▪ Develops strategies and solutions that have long-term benefits for the customer. ▪ Addresses customer problems with a focus on the long-term relationship. ▪ Proactively builds customer relationships and maintains good relationships with the customer over the long term.

Behavioral Example: _____

Initiative: Identifies a problem, obstacle or opportunity and takes action to address current or future problems or opportunities.

Employee does not demonstrate any of the levels below; this competency will be included in the employee's development plan.

(More than one category may be checked – Upper boxes for planning session and lower boxes for end of year)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>Addresses Current Opportunities or Problems:</p> <ul style="list-style-type: none"> ▪ Recognizes when a situation calls for a different approach from the usual. ▪ Pulls together ideas, issues and observations when a new perspective emerges. ▪ Recognizes and acts on current opportunities 	<p>Is Decisive in a Crisis:</p> <ul style="list-style-type: none"> ▪ Acts quickly and decisively in a crisis situation. ▪ Makes decisions and takes action where the norm is to wait and hope the problem will resolve itself. ▪ Is prepared to try out different solutions or take a different approach. 	<p>Plans Ahead and Takes Action:</p> <ul style="list-style-type: none"> ▪ Looks for opportunities to make improvements. ▪ Looks beyond the facts in order to develop solutions that have currently not been used in the agency before. ▪ Generates new and varied solutions to problems. ▪ Identifies changes that will improve performance in own agency. 	<p>Anticipates and Prepares for Long-Term Problems:</p> <ul style="list-style-type: none"> ▪ Anticipates situations 6 months to a year ahead or more and acts to create opportunities or avoid problems that are not obvious to others. ▪ Applies and modifies learned concepts, methodologies or ideas that have been used in other departments or agencies, to develop new solutions to problems.

Behavioral Example: _____

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Teamwork: Achieves team objectives by developing and sustaining cooperative relationships. Employee works cooperatively with others as part of the team, as opposed to working separately or competitively. At the highest levels, it includes an ability to ensure the cohesiveness of the team and to ensure success.

Employee does not demonstrate any of the levels below; this competency will be included in the employee's development plan.

(More than one category may be checked – Upper boxes for planning session and lower boxes for end of year)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<p>Cooperates:</p> <ul style="list-style-type: none"> ▪ Cooperates willingly with others. ▪ Ensures all group members have the relevant and needed information. ▪ Speaks positively of other team members. 	<p>Solicits Input:</p> <ul style="list-style-type: none"> ▪ Solicits ideas and opinions from others to help form specific decisions or plans. ▪ Genuinely values others' input and expertise and is willing to learn from others. 	<p>Encourages Others:</p> <ul style="list-style-type: none"> ▪ Takes specific steps to create a team environment and model desired behavior. ▪ Encourages all members of the group to contribute. ▪ Publicly recognizes the contributions of other team members. 	<p>Promotes the Team:</p> <ul style="list-style-type: none"> ▪ Acts to promote a friendly climate, good morale and cooperation within the team. ▪ Protects and promotes the team's reputation with others. ▪ Resolves team conflicts. Brings conflict within the team into the open and encourages or facilitates a beneficial resolution. ▪ Partners with other teams and promotes collaborative decision making to solve problems.

Behavioral Example: _____

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LEADERSHIP COMPETENCIES (FOR MANAGERS ONLY):

Performance Management: Promotes employee development opportunities and fosters the long-term learning or development of others. Sets clear goals and expectations, ensures feedback and addresses performance issues in a timely manner. It includes the ability to apply State of Kansas regulations and policies, to delegate effectively, to deal with performance issues, and to hold others accountable.

Employee does not demonstrate any of the levels below; this competency will be included in the employee's development plan.

(More than one category may be checked – Upper boxes for planning session and lower boxes for end of year)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sets Clear Objectives and Expectations: <ul style="list-style-type: none"> ▪ Gives detailed explanations of specific goals and objectives to others. ▪ Delegates effectively to employees to enable focus on managerial responsibilities. 	Holds People Accountable for Performance: <ul style="list-style-type: none"> ▪ Sets goals or objectives for employees that drive high performance. ▪ Holds employees accountable for their performance. ▪ Reviews employees' performance against clear standards and objectives, and rectifies performance issues. ▪ Provides timely feedback and performance evaluations to employees. 	Coaches for Effective Performance: <ul style="list-style-type: none"> ▪ Provides real-time coaching to others to improve performance. ▪ Addresses performance problems early to ensure minimal department or agency impact. ▪ Utilizes employee recognition to reinforce positive outcomes and behaviors. ▪ Identifies training or development needs for team or group of employees. 	Creates Climate for High Performance: <ul style="list-style-type: none"> ▪ Implements specific tactics to create a climate for high performance. ▪ Interfaces with other leaders to develop best-practice people practices for the good of the agency. ▪ Develops employees for future roles.

Behavioral Example: _____

Leadership: Outstanding State of Kansas managers view themselves as leaders of people. They recognize that they are responsible for facilitating the work of their employees, which may mean providing essential information, creating efficient work structures or processes, or securing additional resources. These leaders inspire confidence in people and create excitement about the work and purpose.

Employee does not demonstrate any of the levels below; this competency will be included in the employee's development plan.

(More than one category may be checked – Upper boxes for planning session and lower boxes for end of year)

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Keeps People Informed: <ul style="list-style-type: none"> ▪ Provides clear, consistent, and ongoing communication to team members. ▪ Lets people affected by the team know what is happening and the status of decisions. ▪ Makes sure the team has all the information it needs to make decisions and carry out its responsibilities, individually and collectively. ▪ Explains the reasons behind a decision promptly and candidly. 	Promotes Team Effectiveness: <ul style="list-style-type: none"> ▪ Creates the conditions that enable the team to perform at its best (e.g., setting clear direction, delegating responsibility, getting the right people). ▪ Makes team membership (including selection and dismissal) and assignments in a manner that promotes morale and productivity of the team. ▪ Obtains input from others to promote the effectiveness of the team. 	Takes Care of the Team: <ul style="list-style-type: none"> ▪ Obtains needed resources and information that the team needs to meet its goals. ▪ Provides or secures needed support and development for individuals or the team as a whole. ▪ Holds team members accountable for their contributions to team success, including bringing team resources to their assistance. 	Inspires the Team: <ul style="list-style-type: none"> ▪ Generates excitement, enthusiasm, and commitment to agency and/or departmental goals. ▪ Develops a workforce plan that positions the department or agency for long-term success. ▪ Inspires confidence in the mission of the agency. ▪ Models desired behavior.

Behavioral Example: _____

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Part IVc: Annual Performance Review: Overall Performance Review Rating

Instructions: Indicate which level most appropriately describes the overall performance level of the individual. Add any comments that provide clarification to the Overall Review (may include unique circumstances, relevant career objectives, or other considerations).

Overall Performance Level:

Unsatisfactory <input type="checkbox"/>	Needs Improvement <input type="checkbox"/>	Meets Expectations <input type="checkbox"/>	Exceeds Expectations <input type="checkbox"/>	Exceptional <input type="checkbox"/>
<ul style="list-style-type: none"> ▪ Employee is not making the contributions expected of an employee in this role. ▪ Employee may not be appropriately placed in the job or the agency. ▪ Immediate improvement is required. 	<ul style="list-style-type: none"> ▪ Employee is inconsistent in performance. ▪ Employee sometimes meets expectations and requirements. ▪ Continual improvement is required to fully meet expectations. 	<ul style="list-style-type: none"> ▪ Employee is making meaningful and valuable contributions. ▪ Employee has shown consistent and continual performance throughout the review period. 	<ul style="list-style-type: none"> ▪ Employee is making significant contributions and often exceeds objectives and expectations. ▪ Employee demonstrates a high level of competency. 	<ul style="list-style-type: none"> ▪ Employee is making outstanding contributions on a continual basis. ▪ Employee's achievements are clearly distinguishable from solid performers, and are highly valued by others. ▪ Employee consistently performs at a high level of competency.

Manager Comments: _____

Employee Comments: _____

Signatures

Performance Planning Discussion

Employee's Signature*: _____ Date: _____

Manager's Signature (completed by): _____ Date: _____

Mid Year Discussion

Employee's Signature*: _____ Date: _____

Manager's Signature (completed by): _____ Date: _____

Annual Performance Review Discussion

Employee's Signature*: _____ Date: _____

Manager's Signature (completed by): _____ Date: _____

Reviewer's Signature (reviewed by): _____ Date: _____

Appointing Authority Signature: _____ Date: _____

**Note: Signature does not imply agreement with the content of the review. It only indicates the employee's awareness of the information contained herein. A permanent employee, within seven calendar days after being informed of his/her rating, may appeal to the agency head. See K.A.R. 1-7-12.*